

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Joseph C. Cauthen III  
Application No./Patent No./Control No.: 10/075,615 Filed/Issue Date: February 15, 2002  
Entitled: SPINAL DISC ANNULUS RECONSTRUCTION METHOD AND SPINAL DISC ANNULUS STENT

Anulex Technologies, Inc., a Corporation  
(Name of Assignee) (Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title, and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Joseph C. Cauthen To: Cauthen Research Group, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 017343, Frame 0511, or for which a copy thereof is attached.

2. From: Cauthen Research Group, Inc. To: Protostar, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 017343, Frame 0429, or for which a copy thereof is attached.

3. From: Protostar, Inc. To: Anulex Technologies, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 017343, Frame 0305, or for which a copy thereof is attached.

4. From: Joseph C. Cauthen III To: Anulex Technologies, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 017343, Frame 0303, or for which a copy thereof is attached.

5. From: Joseph C. Cauthen III, Matthew M. Burns, Lawrence W. Wales, Rodney L. Houfburg and Joseph D. Farrell  
To: Anulex Technologies, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 019214, Frame 0157, or for which a copy thereof is attached.

- ☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

**(NOTE:** A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Brian W. Oberst  
Signature  
Brian W. Oberst Reg. 52,079  
Printed or Typed Name  
Attorney/Agent of Record  
Title

4/16/09  
Date  
612/766-7174  
Telephone Number